Wood Smoke Exposure and Gene Promoter Methylation Are Associated with Increased Risk for COPD in Smokers

Akshay Sood¹, Hans Petersen², Christopher M. Blanchette², Paula Meek³, Maria A. Picchi², Steven A. Belinsky², and Yohannes Tesfaigzi²

¹University of New Mexico School of Medicine and ²Lovelace Respiratory Research Institute, Albuquerque, New Mexico; and ³University of Colorado at Denver, Denver, Colorado

Rationale: Wood smoke–associated chronic obstructive pulmonary disease (COPD) is common in women in developing countries but has not been adequately described in developed countries.

Objectives: Our objective was to determine whether wood smoke exposure was a risk factor for COPD in a population of smokers in the United States and whether aberrant gene promoter methylation in sputum may modify this association.

Methods: For this cross-sectional study, 1,827 subjects were drawn from the Lovelace Smokers' Cohort, a predominantly female cohort of smokers. Wood smoke exposure was self-reported. Postbronchodilator spirometry was obtained, and COPD outcomes studied included percent predicted FEV_1 , airflow obstruction, and chronic bronchitis. Effect modification of wood smoke exposure with current cigarette smoke, ethnicity, sex, and promoter methylation of lung cancer-related genes in sputum on COPD outcomes were separately explored. Multivariable logistic and poisson regression models were used for binary and rate-based outcomes, respectively.

Measurements and Main Results: Self-reported wood smoke exposure was independently associated with a lower percent predicted FEV₁ (point estimate [\pm SE] -0.03 ± 0.01) and a higher prevalence of airflow obstruction and chronic bronchitis (odds ratio, 1.96; 95% confidence interval, 1.52–2.52 and 1.64 (95% confidence interval, 1.31–2.06, respectively). These associations were stronger among current cigarette smokers, non-Hispanic whites, and men. Wood smoke exposure interacted in a multiplicative manner with aberrant promoter methylation of the p16 or GATA4 genes on lower percent predicted FEV₁.

Conclusions: These studies identify a novel link between wood smoke exposure and gene promoter methylation that synergistically increases the risk for reduced lung function in cigarette smokers.

Keywords: wood smoke; cigarette smokers; airflow obstruction; gene promoter methylation in sputum DNA

Chronic obstructive pulmonary disease (COPD) is the fourth leading cause of death, affecting approximately 25 to 30% of all cigarette smokers. Because of the large number of cigarette smokers, the incidence of this disease is rising and approaching worldwide epidemic proportions (1). Although COPD is clinically defined as airflow obstruction that is not fully reversible, it is a heterogeneous disease with different phenotypes: airflow obstruction due to parenchymal lung destruction resulting in the loss of elastic recoil (emphysema) and small airway obstruction resulting from peribronchial inflammation and chronic mucus

Am J Respir Crit Care Med Vol 182. pp 1098–1104, 2010

AT A GLANCE COMMENTARY

Scientific Knowledge on the Subject

It is assumed that wood smoke exposure is associated with chronic obstructive pulmonary disease (COPD) only in developing countries where people are exposed to wood smoke at much higher levels than in the developed world. It is not known whether wood smoke exposure of smokers has any effect on lung function.

What This Study Adds to the Field

This study shows that in the United States, exposure to wood smoke is associated with reduction of lung function in cigarette smokers. In addition, cigarette smokers with wood smoke exposure and gene promoter methylation in sputum DNA have a synergistically increased risk for reduced lung function and other COPD outcomes.

hypersecretion. COPD is primarily attributable to cigarette smoking; however, other factors, including bacterial and viral infections and environmental air pollutants, may affect the development of COPD.

From the global perspective, the magnitude of the health consequences of indoor air pollution rivals that of tobacco use (2). Epidemiologic studies have linked exposure to wood smoke with increased prevalence of respiratory symptoms and illness in children and adults. Increased respiratory symptoms; decreased pulmonary function; increased prevalence of asthma, COPD, respiratory failure, and cor pulmonale; and increased mortality have been associated with wood smoke exposure in various countries across Asia, Africa, and the Americas (3). These studies from developing countries and one from Spain (4) have established that smoke from biomass fuel during cooking activities can cause COPD in women who are nonsmokers. Although studies of children in the United States suggest that exposure to wood smoke leads to cough, wheeze, and respiratory tract infections (5, 6), association of wood smoke exposure with COPD has not been previously studied in the United States. This association has not been studied among cigarette smokers, a population subgroup already vulnerable to the development of this disease. Furthermore, the interaction of host factors, such as concurrent cigarette smoke exposure, ethnicity, sex, and genetic factors, with wood smoke exposure on this association has not been well described.

The objective of the present study was to determine whether wood smoke exposure was a significant risk factor for COPD in a cohort of ever-smokers living in an urban area of the southwestern United States and if this association was modified by other host characteristics, such as cigarette smoking, ethnicity, sex, or promoter methylation of genes in sputum DNA.

⁽Received in original form February 11, 2010; accepted in final form June 30, 2010) Supported from funding by the State of New Mexico (appropriation from the Tobacco Settlement Fund) and from the National Institutes of Health grants K23 HL 094531-01 (A.S.), RO1 ES015482 (Y.T.), and U01 CA 097,356 (S.B.).

Correspondence and requests for reprints should be addressed to Yohannes Tesfaigzi, Ph.D., Lovelace Respiratory Research Institute, 2425 Ridgecrest Dr. S.E., Albuquerque, NM 87108. E-mail: YTesfaig@Irri.org

This article has an online supplement, which is accessible from this issue's table of contents at www.atsjournals.org

Originally Published in Press as DOI: 10.1164/rccm.201002-0222OC on July 1, 2010 Internet address: www.atsjournals.org

Some of the results of these studies have been reported in the form of an abstract (7).

METHODS

Study Population

Study subjects (n = 1,861) were drawn from eligible participants enrolled between 2001 and 2007 in a cohort study in New Mexico known as the Lovelace Smokers Cohort, which has been described previously (8). This large cohort disproportionately enrolled women ever-smokers to study the susceptibility to the development of COPD because women are underrepresented in most studies of airflow obstruction in the United States (1). The catchment area was Albuquerque, New Mexico and its surrounding communities, comprising a population of approximately 700,000 persons living at altitudes of approximately 1,500 m above sea level. Most participants were recruited through newspaper or television advertisements and were paid a small stipend for their participation. This study was approved by the Western Institutional Review Board (Olympia, WA).

Inclusion Criteria

Subjects were included in the study if they were 40 to 75 years of age, former or current smokers with at least 10 pack-years of smoking history, and able to understand English. Subjects were required to undergo spirometric testing in the same time frame as obtaining the medical history. Of the 2,004 subjects enrolled in the original cohort, those with missing data (n = 35) and those with fewer than 10 pack-years of smoking (n = 108) were excluded from the analysis.

Study Measurements

All tests were conducted at Lovelace Scientific Resources (Albuquerque, NM). Information related to demographics, respiratory diseases, and smoking was obtained by self-report from all study participants via a questionnaire. Wood smoke exposure was also self-reported as part of the general health survey, although no additional details about type, intensity, and duration of wood smoke exposure were obtained. Smoking-related variables included heavy smoking (>40 pack-yr, based on the mean cut point of 39.4) and current smoking status at the time of testing. Body mass index was measured using standardized methods (9).

Pre- and postbronchodilator spirometry were obtained on all subjects by registered respiratory therapists, strictly adhering to the 1994 American Thoracic Society (ATS) guidelines (10). After completion of prebronchodilator spirometry, all subjects were given two puffs of albuterol (90 μ g/spray metered dose inhaler) with a LiteAire dual

valve spacer (Thayer Medical Corporation, Tucson, AZ), and spirometry was repeated after 15 minutes. Participants were requested not to take any inhalers for 4 hours before their appointment. Vmax Encore 22 (Viasys Respiratory Care, Yorba Linda, CA) and KoKo (Ferraris Respiratory, Louisville, CO) spirometers were used. Both machines met the 1994 ATS recommendations and were calibrated daily and checked at three different injection speeds, as per the ATS guidelines. Respiratory therapists were monitored and periodically recredentialed as part of a standardized laboratory proficiency testing plan. Only spirometric tests that met the ATS criteria for within-maneuver and between-maneuver acceptability were included in the analyses.

Induced sputum was collected and stored in Saccomanno's fixative. Three slides were made for each sputum sample to check for adequacy, as defined by the presence of lung macrophages or Curschmann's spirals (11). From each study subject, adequate sputum samples were taken for DNA isolation by protease digestion followed by phenol chloroform extraction and ethanol precipitation. A methylation-specific PCR assay was performed. A panel of eight genes (p16, MGMT, DAPK, RASSF1A, PAX5 α, PAX5 β, GATA4, and GATA5) was selected for analysis of aberrant gene promoter methylation in sputum based on our previous studies establishing their association with risk for lung cancer (12). Nested methylation-specific PCR was used to detect methylated alleles in DNA recovered from the sputum samples, as previously described (13). Methylation of cytosines within PCR products was verified by various methods. First, the PCR products amplified from tumor samples have been sequenced by our group and others and show that they capture methylated cytosines. Second, the methylation-specific PCR assays are optimized to be highly specific for the region amplified. Last, a subset of sputum samples that gave positive methylation products was analyzed by a second method using restriction enzyme digestion that can discriminate methylation status of CpGs within the resulting PCR product (12, 14).

Outcomes

Outcome measures and assessment of the exposure variables were obtained at the same visit for all participants. COPD was defined by measurements of percent lung function, presence of airflow obstruction, and chronic bronchitis.

Percent lung function. Our primary outcome was percent predicted value of postbronchodilator FEV_1 . The normative lung function tables used for Hispanics and non-Hispanic whites in our study were from the Mexican Americans and Caucasian Americans, respectively, in the third National Health and Nutrition Examination Survey or NHANES III sampled population (15).

TABLE 1. DESCRIPTIVE CHARACTERISTICS ASSOCIATED WITH WOOD SMOKE EXPOSURE IN THE ELIGIBLE COHORT*

| | Wood Smol Present (N = | ke Exposure 515; 27.7%) | Wood Smoke Absent (N = 1, | | |
|---|---------------------------|----------------------------|------------------------------|--------------|---------|
| Characteristic | n (%) | Mean (SD) | n (%) | Mean (SD) | P Value |
| Female | 425 (82.5%) | | 1,078 (80.1%) | | 0.23 |
| Age, yr | | | | | |
| 40-49 | 165 (32.0%) | | 360 (26.7%) | | 0.02 |
| 50–59 | 196 (38.1%) | | 456 (33.9%) | | 0.09 |
| 60–69 | 119 (23.1%) | | 373 (27.7%) | | 0.04 |
| ≥ 70 | 35 (6.8%) | | 157 (11.7%) | | 0.002 |
| Hispanic ethnicity | 115 (22.3%) | | 202 (15.0%) | | < 0.001 |
| Obese (BMI \ge 30 kg/m ²) | 156 (30.3%) | | 410 (30.5%) | | 0.94 |
| ➢ High school education | 349 (67.8%) | | 969 (72.0%) | | 0.07 |
| Current cigarette smoker | 318 (62.7%) | | 761 (57.5%) | | 0.04 |
| Cigarette smoking in pack-yr | | 39.0 (18.9) | | 39.5 (21.1) | 0.88 |
| Cigarette smoking $>$ 40 pack-yr | 181 (35.1%) | | 485 (36.0%) | | 0.72 |
| Chronic bronchitis | 199 (38.6%) | | 373 (27.7%) | | < 0.001 |
| Airflow obstruction (NHANES III) | 150 (29.1%) | | 277 (20.6%) | | < 0.001 |
| Airflow obstruction (GOLD) | 164 (31.8%) | | 323 (24.0%) | | 0.001 |
| FEV ₁ /FVC, ratio % | | 70.6 (10.8) | | 71.9 (10.5) | 0.01 |
| FVC, % predicted | | 100.6 (17.5) | | 100.9 (16.3) | 0.92 |
| FEV _{1%} predicted | | 90.1 (20.8) | | 91.9 (18.5) | 0.09 |

Definition of abbreviation: BMI = body mass index.

* Categorical and continuous variables were analyzed using chi-square and Wilcoxon rank sum tests, respectively.

| TABLE 2. MULTIVARIABLE | ANALYSIS | OF WOOD | SMOKE A | AS PREDICTOR | FOR | CHRONIC | OBSTRUCTIVE | PULMONARY | DISEASE |
|------------------------|----------|---------|---------|--------------|-----|---------|-------------|-----------|---------|
| OUTCOMES (N = 1,861)* | | | | | | | | | |

| | FEV ₁ % Pre | dicted [†] | Airflow Obstructio | n (GOLD) | Chronic Bronchitis | |
|--|------------------------|---------------------|--------------------|----------|--------------------|---------|
| Exposure Variable | PE (SE) | P Value | OR (95% CI) | P Value | OR (95% CI) | P Value |
| Wood smoke, n = 515 (27.7%) | -0.03 (0.01) | < 0.001 | 1.96 (1.52–2.52) | < 0.001 | 1.64 (1.31–2.06) | < 0.001 |
| Current cigarette smoke, $n = 1,079$ (58.0%) | -0.03 (0.01) | < 0.001 | 1.34 (1.05–1.72) | 0.02 | 3.48 (2.72-4.45) | < 0.001 |
| Non-Hispanic White, $n = 1,544$ (83.0%) | -0.04 (0.01) | < 0.001 | 2.20 (1.52-3.17) | < 0.001 | 1.39 (1.04–1.86) | 0.03 |
| Male sex, n = 358 (19.2%) | 0.01 (0.01) | 0.07 | 2.33 (1.78–3.06) | < 0.001 | 1.50 (1.16–1.94) | 0.002 |

Definition of abbreviations: CI = confidence interval; OR = odds ratio; PE = point estimate.

* All models were adjusted for sex, age categories, Hispanic ethnicity, obesity (body mass index \ge 30 kg/m²), educational status (at least high school or not), heavy smoking history (pack-years > 40 or not), current cigarette smoke exposure, and wood smoke exposure, where relevant. Exclusion of those with self-reported asthma (n = 224 or 11%) did not significantly alter the results. Results for absolute postbronchodilator FEV₁/FVC ratio and for airflow obstruction defined by NHANES III criterion were similar to those for FEV₁ % predicted and airflow obstruction defined by GOLD criterion, respectively.

[†] Nonparametric tests using GLM regression models with a Poisson distribution and log link were used to evaluate the continuous FEV₁ % predicted outcome, and logistic regression models were used for airflow obstruction and chronic bronchitis.

Postbronchodilator airflow obstruction. Airflow obstruction was defined by a postbronchodilator FEV_1/FVC ratio of less than 70%, as defined by the GOLD criteria (16).

Chronic bronchitis. Participants with self-reported cough productive of phlegm for at least 3 months per year for at least 2 consecutive years were considered to have chronic bronchitis.

Statistical Analysis

Summary statistics, including means, standard deviations, medians, and interquartile ranges for continuous variables and proportions for categorical variables, were obtained. A chi-square test was used for analysis of categorical variables, and the Wilcoxon rank sum test was used for continuous variables. Percent predicted FEV1 was not normal in distribution and was therefore analyzed as a proportion by nonparametric tests, including multivariable generalized linear models with a Poisson distribution and a log-link (with parametric sensitivity analyses conducted using multivariable ordinary least squares regression models). Similarly, multivariable binary logistic regression models were used for binary outcomes. Covariates considered in the adjusted models included sex, age, heavy smoking, current smoking, obesity, educational status (at least high school or not), and Hispanic ethnicity. All covariates were treated as categorical variables. In Tables 3, 4, 5 and 7, effect sizes for associations (i.e., odds ratios and point estimates) are presented for the combined risk of two exposures in the third row and individual exposures in the first two rows, as compared with no exposures. An additive effect between the two exposures was judged to be present when the effect size in row 3 was approximately the sum of the individual effect sizes in rows 1 and 2, which is equivalent to no additive interaction. Two-way regression analyses for multiplicative interactions between exposures on outcomes were also performed. All analyses were conducted in SAS 9.1 (SAS, Cary, NC). A two-sided P value of < 0.05 was considered statistically significant.

RESULTS

Demographic Characteristics

This study involved 1,861 eligible subjects in New Mexico. The study sample included 1,503 women (80.8%), 317 Hispanics (17.0%), and 1,079 current smokers (58.0%). Wood smoke exposure was reported by 515 subjects (27.7%); subjects exposed to wood smoke were more likely to be younger than 50 years of age, Hispanic, and current cigarette smokers (Table 1).

Wood smoke exposure was independently associated with a greater odds of having respiratory disease, particularly among current smokers, non-Hispanic whites, and men, as compared with those who were former smokers, Hispanics, and women, respectively. Wood smoke exposure was a significant predictor for all COPD outcomes studied (for all analyses, P < 0.001) (Table 2), after adjustment for cigarette smoking and other covariates in a multivariable analysis. The effect sizes related to wood smoke exposure were generally similar to those of current cigarette smoke exposure, except for chronic bronchitis (Table 2). Non-Hispanic whites had higher effect sizes for each of the COPD outcomes studied compared with Hispanics (for all analyses, $P \le 0.03$) (Table 2). Men had higher effect sizes than women for each of the COPD outcomes studied (for all analyses $P \le 0.002$) (Table 2), except for percent predicted FEV₁ (P = 0.07).

There was an additive effect with current exposure to cigarette smoke and wood smoke for all COPD outcome measures (Table 3). Although a significant multiplicative interaction was noted between non-Hispanics and wood smoke exposure on percent predicted FEV_1 , an additive effect was observed for the remaining outcome measures (Table 4). Similarly, there was

TABLE 3. ADDITIVE EFFECT OF EXPOSURES TO CIGARETTE SMOKE AND WOOD SMOKE ON CHRONIC OBSTRUCTIVE PULMONARY DISEASE OUTCOMES (N = 1,861)*

| | FEV1 % Pre | dicted [†] | Airflow Obstructio | n (GOLD) | Chronic Bronchitis | |
|---|--------------|---------------------|--------------------|----------|--------------------|---------|
| Exposure Variable | PE (SE) | P Value | OR (95% CI) | P Value | OR (95% CI) | P value |
| Current cigarette smoke only, $n = 761 (40.9\%)$ | -0.03 (0.01) | < 0.001 | 1.25 (0.94–1.67) | 0.13 | 3.92 (2.92–5.26) | < 0.001 |
| Wood smoke only, $n = 197 (10.6\%)$ | -0.03 (0.01) | 0.001 | 1.70 (1.15-2.49) | 0.007 | 2.12 (1.41-3.18) | < 0.001 |
| Cigarette smoke and wood smoke, $n = 318$ (17.1%) | -0.06 (0.01) | < 0.001 | 2.71 (1.89–3.89) | < 0.001 | 5.74 (4.05-8.13) | < 0.001 |

Definition of abbreviations: CI = confidence interval; OR = odds ratio; PE = point estimate.

* The referent group included those currently exposed to neither smoke (n = 585, or 31.4%). All models were adjusted for sex, age categories, Hispanic ethnicity, obesity (BMI \ge 30 kg/m²), educational status (at least high school or not), and heavy smoking history (pack-years > 40 or not). Results for absolute postbronchodilator FEV₁/FVC ratio and for airflow obstruction defined by NHANES III criterion were similar to those for FEV₁ % predicted and airflow obstruction defined by GOLD criterion respectively.

[†] Nonparametric tests using GLM regression models with a Poisson distribution and log link were used to evaluate the continuous FEV₁ % predicted outcome and logistic regression models were used for airflow obstruction and chronic bronchitis. Two-way regression analyses showed that multiplicative interactions between wood smoke and cigarette smoke exposures were not significant for any of the above outcome measures (all $P \ge 0.14$). However, the effect sizes in row 3 were approximately the sum of the individual effect sizes for rows 1 and 2, indicating an additive effect.

| TABLE 4. A | ADDITIVE | EFFECT | OF N | ION-HISP | ANIC | ETHNICITY | AND | EXPOSURE | то | WOOD | SMOKE | ON | CHRONIC | OBSTRUC | TIVE |
|------------|----------|---------|------|----------|-------|-----------|-----|----------|----|------|-------|----|---------|---------|------|
| PULMONA | RY DISEA | SE OUTO | COME | S (N = 1 | 1,861 |)* | | | | | | | | | |

| | FEV ₁ % Pree | dicted ^{†‡} | Airflow Obstructio | n (GOLD) | Chronic Bronchitis | |
|---|-------------------------|----------------------|--------------------|----------|--------------------|---------|
| Exposure Variable | PE (SE) | P Value | OR (95% CI) | P Value | OR (95% CI) | P Value |
| Hispanics with wood smoke, $n = 115$ (6.2%) | 0.02 (0.01) | 0.21 | 1.97 (1.00–3.86) | 0.05 | 1.22 (0.73–2.04) | 0.44 |
| Non-Hispanics without wood smoke, n = 1,144 (61.5%) | -0.02 (0.01) | 0.004 | 2.20 (1.37-3.52) | 0.001 | 1.21 (0.85–1.73) | 0.28 |
| Non-Hispanics with wood smoke, $n = 400$ (21.5%) | -0.07 (0.01) | < 0.001 | 4.30 (2.59–7.12) | < 0.001 | 2.14 (1.45–3.16) | < 0.001 |

Definition of abbreviations: CI = confidence interval; OR = odds ratio; PE = point estimate.

* The referent group included Hispanics without current exposure to wood smoke (n = 202 or 10.9%). All models were adjusted for sex, age categories, current smoking, obesity (body mass index \ge 30 kg/m²), educational status (at least high school or not), and heavy smoking history (pack-yr > 40 or not). Results for absolute postbronchodilator FEV₁/FVC ratio and for airflow obstruction defined by NHANES III criterion were similar to those for FEV₁ % predicted and airflow obstruction defined by GOLD criterion, respectively.

[†] Nonparametric tests using GLM regression models with a Poisson distribution and log link were used to evaluate the continuous FEV₁ % predicted outcome and logistic regression models were used for airflow obstruction and chronic bronchitis.

 $\frac{1}{2}$ Among the various chronic obstructive pulmonary disease outcome measures, the only statistically significant multiplicative interaction using two-way regression analyses between non-Hispanic ethnicity and wood smoke exposure was on FEV₁ % predicted (*P* < 0.001). For the remaining outcome measures, the effect sizes in row 3 were approximately the sum of the individual effect sizes for rows 1 and 2, indicating an additive effect.

a multiplicative interaction of sex with wood smoke exposure on FEV₁ but an additive effect for the remaining outcome measures (Table 5). Therefore, three-way interactions between sex or ethnicity, wood smoke, and cigarette smoke exposures on percent predicted FEV₁ were analyzed. These analyses demonstrated that men had significantly lower percent predicted FEV₁ than women currently exposed to both wood smoke and cigarette smoke (for three-way interaction, P = 0.02). Irrespective of cigarette smoke exposure, non-Hispanic whites exposed to wood smoke had lower percent predicted FEV₁ compared with those without exposure to wood smoke. Wood smoke exposure in Hispanics was not associated with a lower percent predicted FEV₁ (for three-way interaction, P = 0.001).

Wood smoke exposure was more strongly associated with selected COPD outcomes in the presence of methylated p16 or GATA4 genes in sputum. Sputum samples of 1,267 subjects (68.1% of eligible cohort) were analyzed for gene promoter methylation of a panel of eight lung cancer-related genes. Analysis of individual genes revealed that methylation of the p16 gene was significantly associated with lower percent predicted FEV₁ and possibly higher odds of chronic bronchitis, whereas GATA4 methylation was associated with lower percent predicted FEV₁ after correction for multiple comparisons (Table 6 and see Table E2 in the online supplement).

Wood smoke exposure was associated with significantly lower percent predicted FEV₁ in the presence of methylated p16 gene in the sputum (multiplicative interaction, P = 0.002) (Table 7). For chronic bronchitis, the odds ratio for the combined risk of p16 methylation and wood smoke was larger than that of each separately. Similarly, wood smoke exposure was associated with lower percent predicted FEV₁ and higher odds of airflow obstruction in the presence of aberrantly methylated GATA4 in the sputum (for all analysis multiplicative interaction, $P \le 0.04$) (Table 7).

The association between methylation index and each outcome was assessed. Analysis of methylation index, the number of methylated genes in each sputum sample, dichotomized into low (fewer than two genes methylated) and high (two or more genes methylated), showed that high methylation index was significantly associated with lower percent predicted FEV_1 and airflow obstruction (Table E1).

Alternate Analytic Strategies

Our low prevalence of bronchodilator reversibility (approximately 11%), use of postbronchodilator lung function measures, and exclusion of subjects with less than 10 pack-years of smoking history decreased the misclassification bias resulting from asthma as a cause of airway obstruction. Nevertheless, additional analyses were performed after excluding participants with selfreported asthma (n = 224 or 11%), and similar results were seen. Similar results were observed when airflow obstruction was defined by a postbronchodilator FEV₁/FVC ratio below the 5th percentile of the NHANES III predicted value instead of the GOLD criteria or when absolute postbronchodilator FEV₁/FVC ratio was analyzed instead of percent predicted FEV₁.

TABLE 5. ADDITIVE EFFECT OF MALE SEX AND EXPOSURE TO WOOD SMOKE ON CHRONIC OBSTRUCTIVE PULMONARY DISEASE OUTCOMES (N = 1,861)

| Exposure Variable | FEV ₁ % Pre | dicted [†] | Airflow Obstructio | n (GOLD) | Chronic bronchitis | |
|---|------------------------|---------------------|--------------------|----------|--------------------|---------|
| | PE (SE) | P Value | OR (95% CI) | P Value | OR (95% CI) | P Value |
| Women with wood smoke, $n = 425$ (22.8%) | -0.03 (0.01) | < 0.001 | 1.93 (1.45–2.56) | < 0.001 | 1.72 (1.34–2.22) | < 0.001 |
| Men without wood smoke, $n = 268$ (14.4%) | 0.02 (0.01) | 0.01 | 2.29 (1.67-3.15) | < 0.001 | 1.60 (1.19-2.16) | 0.002 |
| Men with wood smoke, $n = 90$ (4.8%) | -0.04 (0.01) | 0.001 | 4.72 (2.88–7.71) | < 0.001 | 2.14 (1.34–3.43) | 0.002 |

Definition of abbreviations: CI = confidence interval; OR = odds ratio; PE = point estimate.

The referent group included women not exposed to wood smoke (n = 1,078 or 57.6%). All models were adjusted for age categories, Hispanic ethnicity, obesity (body mass index \ge 30 kg/m²), educational status (at least high school or not), current cigarette smoking, and heavy smoking history (pack-yr > 40 or not). Results for absolute postbronchodilator FEV₁/FVC ratio and for airflow obstruction defined by NHANES III criterion were similar to those for FEV₁ % predicted and airflow obstruction defined by GOLD criterion respectively.

[†] Nonparametric tests using GLM regression models with a Poisson distribution and log link were used to evaluate the continuous FEV_1 % predicted outcome and logistic regression models were used for airflow obstruction and chronic bronchitis. Among the various chronic obstructive pulmonary disease outcome measures, the only statistically significant multiplicative interaction using two-way regression analyses between sex and wood smoke exposure was on FEV_1 % predicted (P = 0.04). For the remaining outcome measures, the effect sizes in row 3 were approximately the sum of the individual effect sizes for rows 1 and 2, indicating an additive effect.

TABLE 6. ASSOCIATIONS BETWEEN PROMOTER METHYLATION OF GENES IN SPUTUM AND CHRONIC OBSTRUCTIVE PULMONARY DISEASE OUTCOMES (N = 1,267)*

| Exposure | FEV ₁ % Predicted [‡] | | | Airflow Obstruction | n (GOLD) | Chronic Bronchitis | | |
|----------|---|--------------|----------|---------------------|----------|--------------------|---------|--|
| | n | PE (SE) | P Value§ | OR (95% CI) | P Value | OR (95% CI) | P Value | |
| P16 | 216/1,267 | -0.02 (0.01) | 0.006 | 1.12 (0.78-1.60) | 0.553 | 1.56 (1.13–2.16) | 0.007 | |
| GATA4 | 485/1,267 | -0.02 (0.01) | 0.003 | 1.39 (1.05–1.85) | 0.021 | 1.15 (0.89–1.49) | 0.290 | |

Definition of abbreviations: CI = confidence interval; OR = odds ratio; PE = point estimate.

* The referent group included those participants who did not have the specific methylated gene in sputum (n = 1,051 for p16 and 782 for GATA4). All models were adjusted for sex, age categories, Hispanic ethnicity, obesity (body mass index \ge 30 kg/m²), educational status (at least high school or not), heavy smoking history (pack-ye > 40 or not), and current cigarette smoke exposure and wood smoke exposure. Results for absolute postbronchodilator FEV₁/FVC ratio and for airflow obstruction defined by NHANES III criterion were similar to those for FEV₁ % predicted and airflow obstruction defined by GOLD criterion, respectively.

^{*} Nonparametric tests using GLM regression models with a Poisson distribution and log link were used to evaluate the continuous FEV₁ % predicted outcome and logistic regression models were used for airflow obstruction and chronic bronchitis. Two of the panel of eight lung cancer-related genes (p16, MGMT, DAPK, RASSF1A, PAX5 α , PAX5 β , GATA4, and GATA5) were selected for analysis of promoter methylation in sputum. RASSF1A was also significantly and inversely associated with FEV₁/FVC ratio and FEV₁ % predicted but was not included in the model because of its small sample size (10/1,267).

 $^{\$}$ To adjust for multiple comparisons, the *P* values in the table are to be compared with an α of 0.05 divided by 8 (the number of genes studied); the results for FEV₁ % predicted remained significant under Bonferroni correction, a conservative approach for explaining these number of comparisons.

DISCUSSION

The present study shows that exposure to wood smoke is associated with all COPD phenotypes studied (i.e., low lung function, airflow obstruction, and chronic bronchitis) in a cohort of smokers living in an urban area of southwestern United States, independent of cigarette smoking. These associations are stronger among current cigarette smokers, non-Hispanic whites, and men, as compared with former cigarette smokers, Hispanics, and women, respectively. In addition, smokers with aberrant promoter methylation of the p16 and GATA4 genes in sputum demonstrate stronger associations between wood smoke exposure and lower lung function than those without these epigenetic changes.

In developed countries, people are exposed to wood smoke in a variety of ways, including smoke from residential heating, cooking stoves, campfires, forest fires, and prescribed fires (17). Wood burning is an important contributor to particle and gaseous material in ambient air and in some locations accounts for up to 80% of the airborne particle concentrations during the winter (18). Measurements in homes heated with wood show that the total particulate matter concentrations range from 0.05 to 0.1 mg/m³ (19). Wood burning not only increases indoor but also outdoor "neighborhood" pollution, thereby exposing many nonusers to wood smoke components (20). Based on seasonal variations in particulate matter of median aerometric diameter less than 2.5 μ m (PM_{2.5}), the local air agency confirmed that wood smoke may be an important contributor to the pollution in the Albuquerque area. Wood smoke is a complex mixture of numerous volatile and particulate substances constituted by different organic and inorganic compounds known to be toxic or irritating to the respiratory system. Its composition varies with the wood type and the conditions of combustion. More than 200 chemical and compound groups have been identified in wood smoke, most of which are in the inhalable size range, generally smaller than 1 μ m (21), and often include ultrafine particles (< 100 μ m). Exposure to wood smoke in developed countries tends to be at sustained low levels, unlike exposure to cigarette smoke, which is short-term but intense, with a single cigarette introducing 15 to 40 mg total particulate matter into the respiratory tract.

Our study contrasts with most studies conducted outside the United States that have focused on nonsmokers. Our population of relatively older smokers may be particularly susceptible to the adverse respiratory effects of wood smoke exposure, compared with the general population. This conclusion is supported by the observed additive effect between current cigarette smoke and wood smoke exposures on COPD phenotypes. Furthermore, these epidemiological findings are substantiated by our labora-

TABLE 7. EFFECT OF WOOD SMOKE EXPOSURE AND PROMOTER METHYLATION OF GENES IN SPUTUM ON CHRONIC OBSTRUCTIVE PULMONARY DISEASE OUTCOMES (N = 1,267)*

| | FEV1 % Pre | dicted [†] | Airflow Obstructio | n (GOLD) | Chronic Bronchitis | |
|--|--------------|----------------------|--------------------|----------|--------------------|---------|
| Exposure | PE (SE) | P Value [‡] | OR (95% CI) | P Value | OR (95% CI) | P Value |
| Unmethylated p16 with wood smoke ($n = 296$) | -0.04 (0.01) | < 0.001 | 1.90 (1.41–2.57) | < 0.001 | 1.81 (1.37–2.38) | < 0.001 |
| p16 methylation without wood smoke $(n = 151)$ | -0.02 (0.01) | 0.01 | 1.22 (0.81–1.85) | 0.35 | 1.75 (1.20-2.53) | 0.003 |
| p16 methylation with wood smoke $(n = 65)$ | -0.07 (0.01) | < 0.001 | 2.27 (1.26-4.07) | 0.006 | 3.07 (1.78-5.27) | < 0.001 |
| Unmethylated GATA4 with wood smoke $(n = 215)$ | -0.05 (0.01) | < 0.001 | 1.95 (1.37–2.78) | < 0.001 | 2.28 (1.66-3.13) | < 0.001 |
| GATA4 methylation without wood smoke $(n = 339)$ | -0.02 (0.01) | 0.001 | 1.65 (1.23–2.22) | 0.001 | 1.62 (1.23–2.13) | 0.001 |
| GATA4 methylation with wood smoke (n = 146) | -0.06 (0.01) | < 0.001 | 2.54 (1.70–3.78) | < 0.001 | 1.85 (1.27–2.70) | 0.002 |

Definition of abbreviations: CI = confidence interval; OR = odds ratio; PE = point estimate.

* The referent group included those participants who did not have the specific methylated gene in sputum and were not exposed to wood smoke (n = 755 for p16 and 567 for GATA4). All models were adjusted for sex, age categories, Hispanic ethnicity, obesity (body mass index \ge 30 kg/m²), educational status (at least high school or not), heavy smoking history (pack-yr > 40 or not), current cigarette smoke exposure and wood smoke exposure. Results for absolute postbronchodilator FEV₁/FVC ratio and for airflow obstruction defined by NHANES III criterion were similar to those for FEV₁ % predicted and airflow obstruction defined by GOLD criterion, respectively.

[†] Nonparametric tests using GLM regression models with a Poisson distribution and log link were used to evaluate the continuous FEV₁ % predicted outcome, and logistic regression models were used for airflow obstruction and chronic bronchitis.

⁺ Statistically significant multiplicative interaction using two-way regression analyses between methylated p16 gene and wood smoke exposure on FEV₁ % predicted was present (*P* = 0.002). Similarly, significant multiplicative interactions between methylated GATA4 gene and wood smoke exposure on airflow obstruction, using GOLD criteria (*P* = 0.04), and on FEV₁ % predicted (*P* = 0.002) were seen. Multiplicative interactions cannot be obtained in this table by multiplying individual effect sizes from rows 1 and 2.

tory findings in which pulmonary inflammation and pathological changes were enhanced in mice concurrently exposed to wood smoke and cigarette smoke compared with cigarette smoke alone (Y. Tesfaigzi, unpublished observations).

Our findings that New Mexican non-Hispanic whites are at greater risk for wood smoke–associated COPD than Hispanics is generally consistent with previous studies by our group and others showing that non-Hispanic whites in New Mexico may be at greater risk for COPD (22–24). Although the bases for these findings are not known, possible explanations include ethnic differences in the metabolism of wood smoke products, genetic susceptibility to the effects of wood smoke, type of wood burnt in homes, and prevalence of obesity.

Our study suggests that men may be at higher risk than women with respect to wood smoke–associated COPD. This may reflect the fact that men may have greater involvement with loading, lighting, and maintaining wood stoves than women do in developed countries, resulting in greater wood smoke exposure.

Aberrant promoter methylation of genes in sputum of smokers was associated with various COPD phenotypes, particularly with reduced lung function. The majority of participants with COPD phenotypes in our cohort have mild to moderate (Stage I and II) disease based on the GOLD criteria. The observed association between high methylation index in sputum and reduced pulmonary function suggests that gene promoter methylation in sputum may be an early biomarker for COPD. However, additional longitudinal studies, including those using COPD phenotypes defined by high-resolution computed tomography, are needed to confirm this hypothesis.

In this study, we report a synergistic association for lower lung function between wood smoke exposure and aberrant promoter methylation of the p16 and GATA4 genes in the sputum of smokers. We did not find that promoter methylation caused or explained away the wood smoke association. However, wood smoke and promoter methylation were independent predictors of low lung function. GATA4 is a transcriptional regulator of numerous cell cycle genes (25), and p16 mediates cell cycle arrest and senescence (26), suggesting that these pathways may be disrupted during the development of COPD. Each of these variables (i.e., low lung function [27], wood smoke exposure [28], and methylation of the above-mentioned genes [14]) are independently associated with increased risk for lung cancer. Therefore, one would postulate that exposure to wood smoke may enhance the risk for aberrant gene promoter methylation and the development of lung cancer in cigarette smokers. Due to the large number of people exposed to wood smoke worldwide, this hypothesis has great public health importance and needs further investigation.

The strengths of our study include its analysis of interactions between wood smoke exposure and cigarette smoke exposures, ethnicity, sex, and epigenetic changes in sputum on COPD outcomes. Additional strengths include use of postbronchodilator spirometry to define obstruction, strict adherence to the 1994 ATS guidelines in the performance of spirometry, the use of NHANES III reference standards, and the fact that similar results were obtained whether a fixed ratio (i.e., FEV₁/FVC < 70%) or a statistically defined lower limit of FEV₁/FVC ratio (29) was used to define obstruction (16).

We recognize several limitations to our study. We cannot exclude differences in α -1 antitrypsin deficient status as an alternative explanation to our findings. However, severe α -1 antitrypsin deficiency accounts for only 1 to 2% of cases of COPD. Our study cohort may not be representative of all smokers in New Mexico and in other parts of the United States. However, the smoking behavior in this study is consistent with that observed in representative surveys of the state of New Mexico (30). Finally, obtaining a binary exposure variable, based on whether or not subjects were exposed to wood smoke from a self-report, overlooks the potential for large variability of exposures and could introduce information bias. We recognize the need to better measure exposure to wood smoke constituents by validated questionnaire instruments or home exposure monitoring devices to obtain the type, unit amount, and duration of wood smoke the people are exposed to. Therefore, additional research on wood smoke-associated COPD should be performed in cigarette smokers with particular emphasis on understanding the characteristics and dose-response relationship of wood smoke exposure. Although DNA methylation is generally considered to be a stable epigenetic mark, longitudinal studies need to establish the stability of the epigenetic changes in sputum DNA. Furthermore, we analyzed genes that are believed to be primarily associated with lung cancer. Future studies designed to identify genes methylated specifically in COPD are necessary to develop better biomarkers for this disease. Having successfully established a mouse model that shows enhanced inflammation when exposed to cigarette and wood smoke compared with cigarette or wood smoke alone, studies on identifying epigenetic changes in DNA isolated from murine lung cells will help identify the genes modified by cigarette and wood smoke exposure.

Author Disclosure: A.S. has received advisory board fees from Merck (\$1,001– \$5,000) and sponsored grants from the American Lung Association (\$10,001– \$50,000). H.P. has received industry-sponsored grants from GSK, AstraZeneca, Covidian, Novartis, Schering-Plough (\$5,001–\$10,000) and has received funding from NIH (\$10,001–\$50,000). C.M.B. was employed by GSK in 2005 and 2006 and has received consultancy fees from AstraZeneca (\$5,001–\$10,000), GSK (over \$100,000), and NovoNordisk (\$1,001–\$5,000); advisory board fees from Sepracor (\$1,001–\$5,000) and MedImmune (up to \$1,000); and industrysponsored grants from GSK, AstraZeneca, Merck, Schering-Plough, and Covidien (all over \$100,000). P.M. has received sponsored grants from NIH (over \$100,000). M.A.P. has received consultancy fees from Oncomethylome (\$1,001–\$5,000) and holds a patent with Oncomethylone. S.A.B. has received consultancy fees from Oncomethylome (\$1,001–\$5,000) and holds a patent with Oncomethylone. Y.T. has received industry-sponsored grants from Sepracor (over \$100,000).

References

- Silverman EK, Weiss ST, Drazen JM, Chapman HA, Carey V, Campbell EJ, Denish P, Silverman RA, Celedon JC, Reilly JJ, *et al.* Gender-related differences in severe, early-onset chronic obstructive pulmonary disease. *Am J Respir Crit Care Med* 2000; 162:2152–2158.
- Lopez AD, Mathers CD, Ezzati M, Jamison DT, Murray CJ. Global and regional burden of disease and risk factors, 2001: systematic analysis of population health data. *Lancet* 2006;367:1747–1757.
- Salvi SS, Barnes PJ. Chronic obstructive pulmonary disease in nonsmokers. *Lancet* 2009;374:733–743.
- Orozco-Levi M, Garcia-Aymerich J, Villar J, Ramirez-Sarmiento A, Anto JM, Gea J. Wood smoke exposure and risk of chronic obstructive pulmonary disease. *Eur Respir J* 2006;27:542–546.
- Honicky RE, Osborni JS, Akpom CA. Symtoms of respiratory illness in young children and the use of wood-burning stoves for indoor heating. *Pediatrics* 1985;75:587–593.
- Koenig JQ, Larson TV, Hanley QS, Rebolledo V, Dumler K, Checkoway H, Wang SZ, Lin D, Pierson WE. Pulmonary function changes in children associated with fine particulate matter. *Environ Res* 1993;63: 26–38.
- Sood A, Petersen H, Blanchette C, Meek P, Belinsky SA, Picchi M, Tesfaigzi Y. Wood smoke-associated chronic obstructive pulmonary disease (COPD): underappreciated in the United States? *Am J Respir Crit Care Med* 2009;179:A4742.
- Hunninghake GM, Cho MH, Tesfaigzi Y, Soto-Quiros ME, Avila L, Lasky-Su J, Stidley C, Melen E, Soderhall C, Hallberg J, et al. Mmp12, lung function, and copd in high-risk populations. N Engl J Med 2009;361:2599–2608.
- Gordon CC, Chumlea WC, Roche AF. Anthropometric standardization reference manual. Champaign, IL: Human Kinetics Books; 1988. pp. 3–8.
- Standaridization of spirometry, 1994 update. Am J Respir Crit Care Med 1995;152:1107–1136.

- Saccomanno G, Archer VE, Auerbach O, Saunders RP, Brennan LM. Development of carcinoma of the lung as reflected in exfoliated cells. *Cancer* 1974;33:256–270.
- Palmisano WA, Divine KK, Saccomanno G, Gilliland FD, Baylin SB, Herman JG, Belinsky SA. Predicting lung cancer by detecting aberrant promoter methylation in sputum. *Cancer Res* 2000;60:5954–5958.
- Belinsky SA, Palmisano WA, Gilliland FD, Crooks LA, Divine KK, Winters SA, Grimes MJ, Harms HJ, Tellez CS, Smith TM, *et al.* Aberrant promoter methylation in bronchial epithelium and sputum from current and former smokers. *Cancer Res* 2002;62:2370–2377.
- Belinsky SA, Liechty KC, Gentry FD, Wolf HJ, Rogers J, Vu K, Haney J, Kennedy TC, Hirsch FR, Miller Y, *et al.* Promoter hypermethylation of multiple genes in sputum precedes lung cancer incidence in a high-risk cohort. *Cancer Res* 2006;66:3338–3344.
- Hankinson JL, Odencrantz JR, Fedan KB. Spirometric reference values from a sample of the general U.S. population. *Am J Respir Crit Care Med* 1999;159:179–187.
- 16. Pauwels RA, Buist AS, Calverley PM, Jenkins CR, Hurd SS. Global strategy for the diagnosis, management, and prevention of chronic obstructive pulmonary disease. NHLBI/WHO global initiative for chronic obstructive lung disease (GOLD) workshop summary. Am J Respir Crit Care Med 2001;163:1256–1276.
- Migliaccio CT, Bergauff MA, Palmer CP, Jessop F, Noonan CW, Ward TJ. Urinary levoglucosan as a biomarker of wood smoke exposure: observations in a mouse model and in children. *Environ Health Perspect* 2009;117:74–79.
- Larson TV, Koenig JQ. Wood smoke: emissions and noncancer respiratory effects. Annu Rev Public Health 1994;15:133–156.
- Ward TJ, Palmer CP, Houck JE, Navidi WC, Geinitz S, Noonan CW. Community woodstove changeout and impact on ambient concentrations of polycyclic aromatic hydrocarbons and phenolics. *Environ Sci Technol* 2009;43:5345–5350.
- Naeher LP, Brauer M, Lipsett M, Zelikoff JT, Simpson CD, Koenig JQ, Smith KR. Woodsmoke health effects: a review. *Inhal Toxicol* 2007; 19:67–106.

- Kleeman MJ, Shauer JJ, Cass GR. Size and composition distribution of fine particulate matter emitted from wood burning, meat charboiling and cigarettes. *Environ Sci Technol* 1999;33:3516– 3523.
- Samet JM, Schrag SD, Howard CA, Key CR, Pathak DR. Respiratory disease in a New Mexico population sample of Hispanic and non-Hispanic whites. *Am Rev Respir Dis* 1982;125:152–157.
- Samet JM, Wiggins CL, Key CR, Becker TM. Mortality from lung cancer and chronic obstructive pulmonary disease in New Mexico, 1958–82. Am J Public Health 1988;78:1182–1186.
- Sood A, Stidley CA, Picchi MA, Celedon JC, Gilliland F, Crowell RE, Belinsky SA, Tesfaigzi Y. Difference in airflow obstruction between Hispanic and non-Hispanic white female smokers. *COPD* 2008;5: 274–281.
- Rojas A, Kong SW, Agarwal P, Gilliss B, Pu WT, Black BL. Gata4 is a direct transcriptional activator of cyclin d2 and cdk4 and is required for cardiomyocyte proliferation in anterior heart field-derived myocardium. *Mol Cell Biol* 2008;28:5420–5431.
- Takahashi A, Ohtani N, Yamakoshi K, Iida S, Tahara H, Nakayama K, Nakayama KI, Ide T, Saya H, Hara E. Mitogenic signalling and the p16ink4a-rb pathway cooperate to enforce irreversible cellular senescence. *Nat Cell Biol* 2006;8:1291–1297.
- McCaughan F, Spiro SG. Management of patients with COPD. Lancet 2004;364:2015.
- Ramanakumar AV, Parent ME, Siemiatycki J. Risk of lung cancer from residential heating and cooking fuels in Montreal, Canada. Am J Epidemiol 2007;165:634–642.
- Pellegrino R, Viegi G, Brusasco V, Crapo RO, Burgos F, Casaburi R, Coates A, van der Grinten CP, Gustafsson P, Hankinson J, et al. Interpretative strategies for lung function tests. Eur Respir J 2005;26: 948–968.
- 30. Centers for Disease Control and Prevention (CDC). Behavioral risk factor surveillance system user's guide. Atlanta, GA: US Department of Health and Human Services, Centers for Disease Control and Prevention.; 1998.